

02/28/02

JC698 U.S. PTO

03-01-02

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Please type a plus sign (+) inside this box → ☐PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |   |
|------------------------|---|
| Attorney Docket No.    | TBA   |
| First Inventor         | Robert Portman  |
| Title                  | Nutritional Intervention Composition Containing<br>Proteinase Inhibitor Extending Post Meal Satiety |
| Express Mail Label No. | EF203336494US   |

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 16]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies), or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: 2 Checks, Associate Power of Attorney

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

of prior application No.: 09/626,207

Prior application information:

Examiner C. Kam

Group Art Unit: 1653

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label 26345 or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

R. Hain Swope, Esq.

Registration No. (Attorney/Agent)

24,864

Signature

Date 02-28-02

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PTO/SB/17 (10-01)

**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**

(\$)

798.00

**Complete if Known**

|                      |                  |
|----------------------|------------------|
| Application Number   | TBA              |
| Filing Date          | Herewith         |
| First Named Inventor | Robert Portman   |
| Examiner Name        | TBA              |
| Group Art Unit       | TBA              |
| Attorney Docket No.  | 102717-40815 CIP |

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

Gibbons, Del Deo, Dolan, Griffinger

Deposit  
Account  
Name

03-3839

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

| Fee<br>Code | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Description        | Fee Paid |
|-------------|-------------|-------------|-------------|------------------------|----------|
| 101         | 740         | 201         | 370         | Utility filing fee     | 740.00   |
| 106         | 330         | 206         | 165         | Design filing fee      |          |
| 107         | 510         | 207         | 255         | Plant filing fee       |          |
| 108         | 740         | 208         | 370         | Reissue filing fee     |          |
| 114         | 160         | 214         | 80          | Provisional filing fee |          |

**SUBTOTAL (1)** (\$)**740.00****2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 21                 | -20** = 1    | 18.00          | 18.00    |
| 2                  | -3** = 0     | 0.00           | 0.00     |
| Multiple Dependent |              |                |          |

Large Entity Small Entity

| Fee<br>Code | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Description  |
|-------------|-------------|-------------|-------------|--|
| 103         | 18          | 203         | 9           | Claims in excess of 20                                     |
| 102         | 84          | 202         | 42          | Independent claims in excess of 3                          |
| 104         | 280         | 204         | 140         | Multiple dependent claim, if not paid                      |
| 109         | 84          | 209         | 42          | ** Reissue independent claims over original patent         |
| 110         | 18          | 210         | 9           | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$)**18.00**

\*\*or number previously paid, if greater, For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large<br>Entity<br>Fee<br>Code | Large<br>Entity<br>Fee<br>(\$) | Small<br>Entity<br>Fee<br>Code | Small<br>Entity<br>Fee<br>(\$) | Fee Description  | Fee Paid |
|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--|----------|
| 105                            | 130                            | 205                            | 65                             | Surcharge - late filing fee or oath  |          |
| 127                            | 50                             | 227                            | 25                             | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                            | 130                            | 139                            | 130                            | Non-English specification  |          |
| 147                            | 2,520                          | 147                            | 2,520                          | For filing a request for ex parte reexamination                            |          |
| 112                            | 920*                           | 112                            | 920*                           | Requesting publication of SIR prior to Examiner action                     |          |
| 113                            | 1,840*                         | 113                            | 1,840*                         | Requesting publication of SIR after Examiner action                        |          |
| 115                            | 110                            | 215                            | 55                             | Extension for reply within first month                                     |          |
| 116                            | 400                            | 216                            | 200                            | Extension for reply within second month                                    |          |
| 117                            | 920                            | 217                            | 460                            | Extension for reply within third month                                     |          |
| 118                            | 1,440                          | 218                            | 720                            | Extension for reply within fourth month                                    |          |
| 128                            | 1,960                          | 228                            | 980                            | Extension for reply within fifth month                                     |          |
| 119                            | 320                            | 219                            | 160                            | Notice of Appeal   |          |
| 120                            | 320                            | 220                            | 160                            | Filing a brief in support of an appeal                                     |          |
| 121                            | 280                            | 221                            | 140                            | Request for oral hearing   |          |
| 138                            | 1,510                          | 138                            | 1,510                          | Petition to institute a public use proceeding                              |          |
| 140                            | 110                            | 240                            | 55                             | Petition to revive - unavoidable   |          |
| 141                            | 1,280                          | 241                            | 640                            | Petition to revive - unintentional   |          |
| 142                            | 1,280                          | 242                            | 640                            | Utility issue fee (or reissue)   |          |
| 143                            | 460                            | 243                            | 230                            | Design issue fee   |          |
| 144                            | 620                            | 244                            | 310                            | Plant issue fee  |          |
| 122                            | 130                            | 122                            | 130                            | Petitions to the Commissioner  |          |
| 123                            | 50                             | 123                            | 50                             | Processing fee under 37 CFR 1.17(q)  |          |
| 126                            | 180                            | 126                            | 180                            | Submission of Information Disclosure Stmt                                  |          |
| 581                            | 40                             | 581                            | 40                             | Recording each patent assignment per property (times number of properties) | 40.00    |
| 146                            | 740                            | 246                            | 370                            | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                            | 740                            | 249                            | 370                            | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                            | 740                            | 279                            | 370                            | Request for Continued Examination (RCE)                                    |          |
| 169                            | 900                            | 169                            | 900                            | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)

40.00

**SUBMITTED BY**

Name (Print/Type) R. Hain Swope, Esq.

Registration No.  
(Attorney/Agent)

24,864

**Complete (if applicable)**

Telephone

973-596-4905

Signature

Date

02/28/2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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